

JUL 3 0 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,235	02/28/2002	Mike X. Ouyang	CRNG.026

CONFIRMATION NO. 6961

## FORMALITIES LETTER



\*OC000000007730530\*

VOLENTINE FRANCOS, PLLC  
SUITE 150  
12200 SUNRISE VALLEY DRIVE  
RESTON, VA 20191

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for 15 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1140.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

213

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

MT / \$

Docket No.  
CRNG.026 23

In Re Application Of: **Mike X. Ouyang et al.**

Serial No.  
10/087,235

Filing Date  
2/28/2002

Examiner

Group Art Unit  
2874

OPEN  
JUL 30 2002  
PATENT & TRADEMARK OFFICE

Invention: **THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
3/27/2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Combined Certificate Under Rule 3.73(b)**

**Docket No.**  
**CRNG.026**

25

Group Art Unit  
2874

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

☒ Completion of application fees as calculated below:

☐ Fee for processing and retention of application

**Total completion of application fees                      \$1,140.00**

**Total fees due                    \$1,540.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**CRNG.026**

In Re Application Of: **Mike X. Ouyang et al.**

Serial No.  
**10/087,235**

Filing Date  
**2/28/2002**

Examiner

Group Art Unit  
**2874**

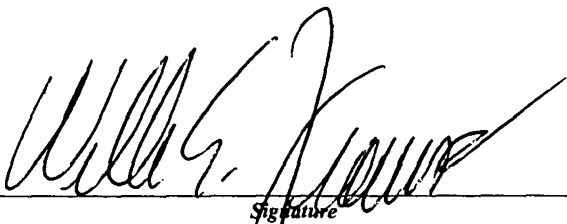
Invention: **THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$1,540.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **July 23, 2002**

**William S. Francos, Esquire (Reg. No. 38,456)**  
**VOLENTINE FRANCOS, P.L.L.C.**  
**12200 Sunrise Valley Drive**  
**Suite 150**  
**Reston, VA 20191**  
**(703) 715-0870**

I certify that this document and fee is being deposited  
on **July 23, 2002** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Michelle Welgoss**

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Mike X. Ouyang et al.

Docket No.

CRNG.026

Serial No.

10/087,235

Filing Date

2/28/2002

Examiner

Group Art Unit

2874

Invention: THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS

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AUG - 6 2002  
TC 2800 MAIL ROOMI hereby certify that this Supplemental Proposed Drawing Change

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: the

Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 23, 2002

(Date)

Michelle Welgoss

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

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V.F. Ref.: CRNG.026

2874

61 Draftmans Letter  
E. Usillis  
10-7-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Michael X. Ouyang, et al.

Group Art Unit: 2874

Serial No. 10/087,235

Examiner: Unknown

Filed: February 28, 2002

For: Thin Film Coating Process and Thin Film Optical Components

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AUG - 6 2002  
TC 2800 MAIL ROOM

Honorable Assistant Commissioner of Patents  
Washington, D.C. 20231

Date: July 23, 2002

**SUPPLEMENTAL PROPOSED DRAWING CHANGE**

Sir:

The following supplemental proposed change to Fig. 1b is respectfully submitted for consideration in connection the above referenced application.

**In the Drawings**

Please amend Fig. 1b in accordance with the handwritten correction in red ink.